

PRIVACY POLICY – PLANVESTING, LLC

Safeguarding client information is an issue we take seriously at PLANVESTING, LLC (“PLANVESTING”). To affirm our continuing commitment to the proper use of client information, we have set forth the following Privacy Principles.

- 1. Recognition of a Client’s Expectation of Privacy:** We believe the confidentiality and protection of client information is one of our fundamental responsibilities. And while information is critical to providing quality service, we recognize that one of our most important assets is our clients’ trust.
- 2. Use, Collection, and Retention of Client Information:** PLANVESTING limits the use, collection, and retention of client information to what we believe is necessary or useful to conduct our business and provide quality service. Information collected may include, but is not limited to: name, address, telephone number, tax identification number, date of birth, employment status, annual income and net worth.
- 3. Maintenance of Accurate Information:** We recognize the necessity of maintaining accurate client records and have established procedures to keep such information current and complete.
- 4. Limiting Employee Access to Information:** Access to personal, non-public client information is limited to those employees with a business reason to know such information. Employees are educated on the importance of maintaining the confidentiality of client information and on these Privacy Principles.
- 5. Protection of Information via Established Security**
Procedures: PLANVESTING recognizes that a fundamental element of maintaining effective client privacy procedures is to provide reasonable protection against the unauthorized access to client information. Therefore, we have established appropriate security standards and procedures to guard against any unauthorized access to client information.
- 6. Disclosure of Privacy Principles to Clients:** PLANVESTING aims to ensure our clients are confident the firm’s ability to manage their financial affairs expertly and confidentially. As a result of this commitment, we have developed these Privacy

Principles which are readily available to our clients. Clients who have questions about these Privacy Principles or have a question about the privacy of their client information should contact PLANVESTING's Chief Compliance Officer, Mike Gay, at 810-923-0165 or e-mail him at Planvesting@gmail.com.

7. **Restrictions on the Disclosure of Client Information:** When it comes to sharing client information with unaffiliated companies, we place strict limits on who receives specific information about client accounts and other personally identifiable data. PLANVESTING may disclose any of the information it collects about clients (or has collected about former clients) to third parties who are not affiliated with PLANVESTING under the following circumstances:
- As directed or authorized by the client;
 - As permitted by law, for example, to service providers who provide investment products or services to the client, in order to effect or settle trades on the client's behalf, in connection with a regulatory audit or examination, or to respond to a subpoena or similar legal process;
 - To parties providing services to PLANVESTING, such as database service companies or data conversion companies. Such companies shall be contractually prohibited from disclosing or using client information other than to perform the services contracted for.